

# Semantic based labelled sequent calculi for non-normal modal logics

Tiziano Dalmonte<sup>1</sup>, Nicola Olivetti<sup>1</sup>, Sara Negri<sup>2</sup>

<sup>1</sup>Aix Marseille Université, Laboratoire des Sciences de l'Information et des Systèmes;

<sup>2</sup>University of Helsinki, Department of Philosophy

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# Outline

- (1) Non-normal modal logics
- (2) Labelled sequent calculi for basic non-normal modal logics
- (3) Mapping: from internal to external calculi
- (3) Open problems and future work

## Non-normal modal logics

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# Non-normal modal logics

## Non-normal modal logics

Non-normal modal logics are obtained by adding to classical propositional logic the rule of inference

$$(RE) \frac{A \leftrightarrow B}{\Box A \leftrightarrow \Box B}$$

and any combination of the axioms

$$(M) \quad \Box(A \wedge B) \rightarrow \Box A \wedge \Box B$$

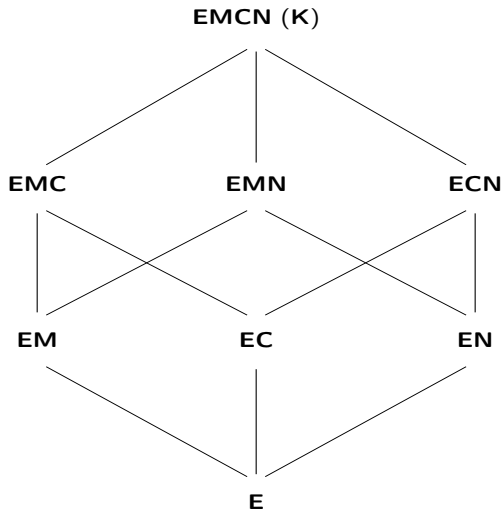
$$(C) \quad \Box A \wedge \Box B \rightarrow \Box(A \wedge B)$$

$$(N) \quad \Box \top$$

## Notation

The resulting systems are denoted with  $\mathbf{ES}_1 \dots \mathbf{S}_n$ , where  $S_i \in \{M, C, N\}$ .

# The cube of non-normal modal logics



# Neighbourhood semantics

## Neighbourhood frames

A *neighbourhood frame* is a pair  $\mathcal{F} = \langle W, I \rangle$  where

- $W$  is a non empty set and
- $I$  is a function  $W \rightarrow \mathcal{P}\mathcal{P}(W)$ .

## Neighbourhood models

A *neighbourhood model* is a triple  $\mathcal{M} = \langle W, I, V \rangle$  where

- $\langle W, I \rangle$  is a neighbourhood frame and
- $V$  is a valuation function for atomic formulas.

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## Truth in a world

$\mathcal{M}, w \models p$	iff	$w \in V(p)$ ;
$\mathcal{M}, w \not\models \perp$ ;		
$\mathcal{M}, w \models A \wedge B$	iff	$\mathcal{M}, w \models A$ and $\mathcal{M}, w \models B$ ;
$\mathcal{M}, w \models A \rightarrow B$	iff	$\mathcal{M}, w \not\models A$ or $\mathcal{M}, w \models B$ ;
$\mathcal{M}, w \models \Box A$	iff	$\ A\  \in I(w)$ , where $\ A\  = \{v \in W \mid \mathcal{M}, v \models A\}$ .

# Neighbourhood semantics

## Frame properties

A neighbourhood frame  $\mathcal{F} = \langle W, I \rangle$

- is *supplemented* if: if  $\alpha \in I(w)$  and  $\alpha \subseteq \beta$ , then  $\beta \in I(w)$ ;
- is *closed under intersections* if: if  $\alpha, \beta \in I(w)$ , then  $\alpha \cap \beta \in I(w)$ ;
- *contains the unit* if: for all  $w \in W$ ,  $W \in I(w)$ .



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## Correspondence

- M is valid on  $\mathcal{F}$  iff  $\mathcal{F}$  is supplemented.
- C is valid on  $\mathcal{F}$  iff  $\mathcal{F}$  is closed under intersections.
- N is valid on  $\mathcal{F}$  iff  $\mathcal{F}$  contains the unit.

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- C is valid on  $\mathcal{F}$  iff  $\mathcal{F}$  is closed under intersections.
- N is valid on  $\mathcal{F}$  iff  $\mathcal{F}$  contains the unit.

## Completeness

**E(M/C/N)** is sound and complete w.r.t. the class of all the neighbourhood frames (that are supplemented/closed under intersections/contain the unit).

## Sequent calculi for basic non-normal modal logics

## Internal calculi

- Lavendhomme, R., and T. Lucas, *Sequent Calculi and Decision Procedures for Weak Modal Systems*, «Studia Logica», 65 (2000), pp. 121-145.
- Lellmann, B. and E. Pimentel, *Proof Search in Nested Sequent Calculi*, in M. Davis et al. (eds.), Proceedings of LPAR-20, 2015, pp. 558-574.

# State of the art

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## External calculi

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- Negri, S., *Proof theory for non-normal modal logics: The neighbourhood formalism and basic results*, «IfCoLog», 4 (2017), pp. 1241-1286.

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Further internal calculi by Indrzejczak and Orlandelli for logics beyond the cube (see References).

## Desiderata

- Analyticity
- Standardness: finite numbers of rules each one with finite and fixed number of premisses.
  - Stronger requirement: each modal rule introduces exactly one modal formula.
- Modularity
- Termination of the decision procedure
- Optimal complexity
- Countermodel generation: obtain directly a countermodel from a failed proof search.

# Global aim

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- Modularity
- Termination of the decision procedure
- Optimal complexity
- Countermodel generation: obtain directly a countermodel from a failed proof search.

## Long term goal

- Give labelled calculi satisfying the desiderata for all logics of the cube.
- Consider extensions with axioms T, D, 4, 5 and B.



Labelled sequent calculus **G3E**  
Negri (2017)

## Enriching the language

- Labels: world labels  $x, y, z \dots$ ; neighbourhood labels:  $a, b, c \dots$
- Expressions:  $a \in I(x)$ ,  $x \in a$ ,  $x : A$ ,  $a \Vdash A$ ,  $A \triangleleft a$ .

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## Rules of G3E

**Initial sequents**  $x : p, \Gamma \Rightarrow \Delta, x : p$        $x \in a, \Gamma \Rightarrow \Delta, x \in a$

**Propositional rules** As for G3K

**Rules for  $\Box$**

$$\frac{a \in I(x), a \Vdash A, A \triangleleft a, \Gamma \Rightarrow \Delta}{x : \Box A, \Gamma \Rightarrow \Delta} L\Box \text{ (} a \text{ fresh)}$$

$$\frac{a \in I(x), \Gamma \Rightarrow \Delta, x : \Box A, a \Vdash A \quad a \in I(x), \Gamma \Rightarrow \Delta, x : \Box A, A \triangleleft a}{a \in I(x), \Gamma \Rightarrow \Delta, x : \Box A} R\Box$$

## Rules of G3E

### Rules for local forcing

$$\frac{x \in a, x : A, a \Vdash A, \Gamma \Rightarrow \Delta}{x \in a, a \Vdash A, \Gamma \Rightarrow \Delta} L \Vdash \quad \frac{x \in a, \Gamma \Rightarrow \Delta, x : A}{\Gamma \Rightarrow \Delta, a \Vdash A} R \Vdash \text{ (} x \text{ fresh)}$$

### Rules for $\triangleleft$

$$\frac{A \triangleleft a, \Gamma \Rightarrow \Delta, x : A \quad x \in a, A \triangleleft a, \Gamma \Rightarrow \Delta}{A \triangleleft a, \Gamma \Rightarrow \Delta} L \triangleleft^*$$

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\* Cf. Correction note.

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\* Cf. Correction note.

### Remarks

- Too much syntax, not justified by the semantics.
- $L \triangleleft$  is computationally expensive.

G3<sup>C</sup>E

Equivalent conditions for  $\mathcal{M}, w \models \Box A$

- (1)  $\|A\| \in I(w)$ .
- (2) There is an  $\alpha \in I(w)$  such that  $\alpha \subseteq \|A\|$  and  $\|A\| \subseteq \alpha$ .
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Idea

Rewrite the calculus by using (3).



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Two kinds of neighbourhood labels

- positive neighbourhood labels  $a, b, c, \dots$
- negative neighbourhood labels  $\bar{a}, \bar{b}, \bar{c}, \dots$

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A new  $L\Box$  rule

$$\frac{a \in I(x), a \Vdash^{\forall} A, \Gamma \Rightarrow \Delta, \bar{a} \Vdash^{\exists} A}{x : \Box A, \Gamma \Rightarrow \Delta} L\Box \text{ (} a \text{ fresh)}$$

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**Rules for local forcing**

$$\frac{x \in a, x : A, a \Vdash^{\forall} A, \Gamma \Rightarrow \Delta}{x \in a, a \Vdash^{\forall} A, \Gamma \Rightarrow \Delta} L\Vdash^{\forall}$$

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## Basic structural properties

- Weakening and contraction are height-preserving admissible;
- All the rules are height-preserving invertible;
- The cut rule is admissible (syntactic cut elimination).

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## Completeness

$G3^C E$  is equivalent to  $E$ :

$$E \vdash A \quad \text{iff} \quad G3^C E \vdash \Rightarrow x : A \text{ for all } x.$$

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## Termination of the decision procedure

Proof search for  $\Rightarrow x : A$  in **G3<sup>C</sup>E** is terminating.

## Admissible rule

A crucial issue for semantic completeness of the calculus is the admissibility of the rule

$$\frac{x \in a, \Gamma \Rightarrow \Delta \quad x \in \bar{a}, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}$$

Digression: Weak neighbourhood semantics



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- for any  $w \in W$ ,  $I(w) \subseteq \mathcal{P}(W) \times \mathcal{P}(W)$  such that  
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### Completeness (corollary)

**E** is sound and complete w.r.t. the class of all the weak neighbourhood frames.

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### Semantic equivalence (corollary)

$\models_{St} A$  iff  $\models_{Wk} A$ .

Extending  $\mathbf{G3}^C\mathbf{E}$  with N, C and M

## Extending $G3^C E$

(N)  $W \in I(w)$  for all  $w \in W$ .

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Negri (2017)

$$\frac{a \in I(x), \top \triangleleft a, \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} N \text{ (} a \text{ fresh)}$$

where  $x$  is in  $\Gamma \cup \Delta$ .



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where  $x$  is in  $\Gamma \cup \Delta$ .

New rules

Add a neighbourhood constant  $\tau$ , interpreted as  $W$ .

$$\frac{\tau \in I(x), \Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \Delta} N\tau$$

$$\frac{}{x \in \bar{\tau}, \Gamma \Rightarrow \Delta} N\bar{\tau}$$

where  $x$  is in  $\Gamma \cup \Delta$  in rule  $N\tau$ .

$$\begin{array}{c}
L\perp \frac{}{y : \perp, y \in \tau, \tau \in I(x), \Rightarrow x : \Box\top, y : \perp} \\
R \rightarrow \frac{}{y \in \tau, \tau \in I(x), \Rightarrow x : \Box\top, y : \top} \\
R \Vdash^\forall \frac{}{\tau \in I(x), \Rightarrow x : \Box\top, \tau \Vdash^\forall \top} \\
\frac{}{y \in \bar{\tau}, y : \top, \tau \in I(x), \Rightarrow x : \Box\top} N\bar{\tau} \\
\frac{}{\bar{\tau} \Vdash^\exists \top, \tau \in I(x), \Rightarrow x : \Box\top} L \Vdash^\exists \\
\frac{}{\tau \in I(x), \Rightarrow x : \Box\top} R\Box \\
\frac{}{\Rightarrow x : \Box\top} N\tau
\end{array}$$

## Extending $G3^C E$

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Negri (2017)

$$\frac{a \cap b \in I(x), a \in I(x), b \in I(x), \Gamma \Rightarrow \Delta}{a \in I(x), b \in I(x), \Gamma \Rightarrow \Delta} C$$

$$\frac{x \in a, x \in b, x \in a \cap b, \Gamma \Rightarrow \Delta}{x \in a \cap b, \Gamma \Rightarrow \Delta} L\cap$$

$$\frac{\Gamma \Rightarrow \Delta, x \in a \cap b, x \in a \quad \Gamma \Rightarrow \Delta, x \in a \cap b, x \in b}{\Gamma \Rightarrow \Delta, x \in a \cap b} R\cap$$

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$$\frac{a \cap b \in I(x), a \in I(x), b \in I(x), \Gamma \Rightarrow \Delta}{a \in I(x), b \in I(x), \Gamma \Rightarrow \Delta} C$$

$$\frac{x \in a, x \in b, x \in a \cap b, \Gamma \Rightarrow \Delta}{x \in a \cap b, \Gamma \Rightarrow \Delta} L\cap$$

$$\frac{\Gamma \Rightarrow \Delta, x \in a \cap b, x \in a \quad \Gamma \Rightarrow \Delta, x \in a \cap b, x \in b}{\Gamma \Rightarrow \Delta, x \in a \cap b} R\cap$$

Remark

Infinitely many terms: order and repetitions count.

# Extending $G3^C E$

## Two possible solutions

- Restrict the applicability of rule  $C$  in some opportune way.
- Define neighbourhood terms as sets.

## Neighbourhood terms as sets

Positive neighbourhood terms are sets of positive neighbourhood labels and are written  $(a_1, \dots, a_n)$ .

$$\frac{t_1 \cup t_2 \in I(x), t_1 \in I(x), t_2 \in I(x), \Gamma \Rightarrow \Delta}{t_1 \in I(x), t_2 \in I(x), \Gamma \Rightarrow \Delta} C$$

$$\frac{x \in t_1, x \in t_2, x \in t, \Gamma \Rightarrow \Delta}{x \in t, \Gamma \Rightarrow \Delta} L\cap' \text{ (if } t_1 \cup t_2 = t)$$

$$\frac{x \in \bar{t}_1, x \in \bar{t}, \Gamma \Rightarrow \Delta \quad x \in \bar{t}_2, x \in \bar{t}, \Gamma \Rightarrow \Delta}{x \in \bar{t}, \Gamma \Rightarrow \Delta} L\bar{\cap} \text{ (if } t_1 \cup t_2 = t)$$

$$\begin{array}{c}
R \Vdash \exists \frac{\dots, y \in \bar{a}, y : A, y : B \Rightarrow \bar{a} \Vdash \exists A, y : A \dots}{\dots, y \in \bar{a}, y : A, y : B \Rightarrow \bar{a} \Vdash \exists A \dots} \quad \frac{\dots, y \in \bar{b}, y : A, y : B, \Rightarrow \bar{b} \Vdash \exists B, y : B, \dots}{\dots, y \in \bar{b}, y : A, y : B, \Rightarrow \bar{b} \Vdash \exists B, \dots} R \Vdash \exists \\
\hline
\dots, y \in \overline{(\bar{a}, \bar{b})}, y : A, y : B \Rightarrow \bar{a} \Vdash \exists A, \bar{b} \Vdash \exists B, \dots \quad L \bar{\cap} \\
\hline
\dots, y \in \overline{(\bar{a}, \bar{b})}, y : A \wedge B \Rightarrow \bar{a} \Vdash \exists A, \bar{b} \Vdash \exists B, \dots \quad L \wedge \\
\hline
\dots, \overline{(\bar{a}, \bar{b})} \Vdash \exists A \wedge B \Rightarrow \bar{a} \Vdash \exists A, \bar{b} \Vdash \exists B, \dots \quad L \Vdash \exists \\
\hline
(a, b) \in I(x), a \in I(x), b \in I(x), a \Vdash \forall A, b \Vdash \forall B \Rightarrow x : \Box(A \wedge B), \bar{a} \Vdash \exists A, \bar{b} \Vdash \exists B \quad R \Box \\
\hline
a \in I(x), b \in I(x), a \Vdash \forall A, b \Vdash \forall B \Rightarrow x : \Box(A \wedge B), \bar{a} \Vdash \exists A, \bar{b} \Vdash \exists B \quad C \\
\hline
x : \Box A, x : \Box B \Rightarrow x : \Box(A \wedge B) \quad L \Box (2)
\end{array}$$

(\*)

$$\begin{array}{c}
\dots, y : A, y : B \Rightarrow y : B, \dots \quad \dots, y : A, y : B \Rightarrow y : A, \dots \quad R \wedge \\
\hline
\dots, y \in a, y \in b, y : A, y : B, y \in (a, b), a \Vdash \forall A, b \Vdash \forall B \Rightarrow y : A \wedge B, \dots \quad L \Vdash \forall (2) \\
\hline
\dots, y \in a, y \in b, y \in (a, b), a \Vdash \forall A, b \Vdash \forall B \Rightarrow y : A \wedge B, \dots \quad L \cap' \\
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\hline
(*) \dots, a \Vdash \forall A, b \Vdash \forall B, \Rightarrow (a, b) \Vdash \forall A \wedge B, \dots
\end{array}$$

## Extending $G3^C E$

(M) If  $\alpha \in I(w)$  and  $\alpha \subseteq \beta$ , then  $\beta \in I(w)$ , for all  $\alpha, \beta \subseteq W$ .



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- M is not applicable in **G3E** (also if rules for  $\subseteq$  are taken).
- In general, it seems that supplementation cannot be expressed by a “good” semantic rule.  
 $w \models \Box(A \wedge B)$   
 $\|A \wedge B\| \in I(w)$   
 $\|A\| \in I(w)$   
Because  $\|A \wedge B\| \subseteq \|A\|$ : **there is the truth-set  $\|A\|$**  and  $\|A \wedge B\| \subseteq \|A\|$ .  
This existential step is obvious (implicit) when reasoning semantically but it isn't obvious for the proof system (cf. Gilbert and Maffezioli (2015)).

# Extending $G3^C E$

Negri (2017)

M is obtained by modifying (simplifying) rules for  $\Box$  ( $a$  fresh in  $L\Box m$ ).

$$\frac{a \in I(x), a \Vdash^{\forall} A, \Gamma \Rightarrow \Delta}{x : \Box A, \Gamma \Rightarrow \Delta} L\Box m \qquad \frac{a \in I(x), \Gamma \Rightarrow \Delta, x : \Box A, a \Vdash^{\forall} A}{a \in I(x), \Gamma \Rightarrow \Delta, x : \Box A} R\Box m$$

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Our proposal

- In our calculus the same rules are obtained by removing existential forcings instead of  $\triangleleft$ -statements.
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Consequence

Calculi for monotonic and non-monotonic logics have separate but parallel lives: Extensions are obtained by adding the same rules (or sometimes a simplified versions for monotonic case).

Mapping: from internal to external calculi

$$\mathbf{Eseq}: (CB) + (E) \frac{P \Rightarrow Q \quad Q \Rightarrow P}{\Box P \Rightarrow \Box Q} .$$

$$\mathbf{Mseq}: (CB) + (M) \frac{P \Rightarrow Q}{\Box P \Rightarrow \Box Q} .$$

$$\mathbf{ECseq}: (CB) + (En) \frac{P_1, \dots, P_n \Rightarrow Q \quad Q \Rightarrow P_1 \quad \dots \quad Q \Rightarrow P_n}{\Box P, \dots, \Box P_n \Rightarrow \Box Q} \quad (n \geq 1).$$

$$\mathbf{MCseq}: (CB) + (Mn) \frac{P_1, \dots, P_n \Rightarrow Q}{\Box P, \dots, \Box P_n \Rightarrow \Box Q} \quad (n \geq 1).$$

$$\mathbf{MNseq}: (CB) + (M) \frac{P \Rightarrow Q}{\Box P \Rightarrow \Box Q} + (N) \frac{\Rightarrow Q}{\Rightarrow \Box Q} .$$

$$\mathbf{MCNseq}: (CB) + (Mn) \frac{P_1, \dots, P_n \Rightarrow Q}{\Box P, \dots, \Box P_n \Rightarrow \Box Q} \quad (n \geq 0).$$



# Translation

For every world label  $x$

- if  $\Gamma = A_1, \dots, A_n$ , then  $\Gamma^{t_x} = x : A_1, \dots, x : A_n$ .
- $(\Gamma \Rightarrow \Delta)^{t_x} = \Gamma^{t_x} \Rightarrow \Delta^{t_x}$ .

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## Theorem

If a sequent  $\Gamma \Rightarrow \Delta$  is derivable in an internal calculus, then its translation  $(\Gamma \Rightarrow \Delta)^{t_x}$  is derivable in the corresponding external calculus for every label  $x$ .

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Example: rule  $M_n$  ( $n \geq 1$ ) of **MCseq** is derivable in **G3<sup>C</sup>EMC**.

$$\frac{\frac{\frac{\frac{\dots, y : A_1, \dots, y : A_n \Rightarrow y : B, \dots}{y \in a_i, y \in (a_1, \dots, a_n), (a_1, \dots, a_n) \in I(x), a_i \in I(x), a_i \Vdash^\forall A_i \Rightarrow x : \Box B, y : B} L \Vdash^{\forall*}}{y \in (a_1, \dots, a_n), (a_1, \dots, a_n) \in I(x), a_i \in I(x), a_i \Vdash^\forall A_i \Rightarrow x : \Box B, y : B} L \cap^*}{(a_1, \dots, a_n) \in I(x), a_i \in I(x), a_i \Vdash^\forall A_i \Rightarrow x : \Box B, (a_1, \dots, a_n) \Vdash^\forall B} R \Vdash^\forall}{(a_1, \dots, a_n) \in I(x), a_i \in I(x), a_i \Vdash^\forall A_i \Rightarrow x : \Box B} C^*}{\frac{a_i \in I(x), a_i \Vdash^\forall A_i \Rightarrow x : \Box B}{x : \Box A_1, \dots, x : \Box A_n \Rightarrow x : \Box B} L \Box m^*} R \Box m$$

# Open problems and future work

## Extensions with T, D, 4, 5, B

- In form of internal calculi they are considered in the works of Indrzejczak (see References).
  - All monotonic logics obtained by adding any combinations of axioms T, D, 4, 5, B have a cut free calculus.
  - Only some of the non monotonic logics obtained by adding combinations of T, D, 4, 5, B have a cut free calculus.
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## Correspondence

(T)	$\Box A \rightarrow A$	if $\alpha \in I(w)$ , then $w \in \alpha$ .
(D)	$\Box A \rightarrow \neg \Box \neg A$	if $\alpha \in I(w)$ , then $\alpha^c \notin I(w)$ .
(4)	$\Box A \rightarrow \Box \Box A$	if $\alpha \in I(w)$ , then $\{v : \alpha \in I(v)\} \in I(w)$ .
(5)	$\neg \Box A \rightarrow \Box \neg \Box A$	if $\alpha \notin I(w)$ , then $\{v : \alpha \notin I(v)\} \in I(w)$ .
(B)	$A \rightarrow \Box \neg \Box \neg A$	if $w \in \alpha$ , then $\{v : \alpha^c \notin I(v)\} \in I(w)$ .

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(T) If  $\alpha \in I(w)$ , then  $w \in \alpha$ .

$$\frac{a \in I(x), x \in a, \Gamma \Rightarrow \Delta}{a \in I(x), \Gamma \Rightarrow \Delta} T$$

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## Future work

(D) If  $\alpha \in I(w)$ , then  $\alpha^c \notin I(w)$ .

$$\frac{a \in I(x), b \in I(x), y \in a, y \in b, \Gamma \Rightarrow \Delta \quad a \in I(x), b \in I(x), y \in \bar{a}, y \in \bar{b}, \Gamma \Rightarrow \Delta}{a \in I(x), b \in I(x), \Gamma \Rightarrow \Delta} D (y \text{ fr.})$$



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(D) If  $\alpha \in I(w)$ , then  $\alpha^c \notin I(w)$ .

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$$\frac{\frac{(1) \quad (2)}{a \in I(x), b \in I(x), a \Vdash^{\forall} A, b \Vdash^{\forall} \neg A \Rightarrow \bar{a} \Vdash^{\exists} A, \bar{b} \Vdash^{\exists} \neg A} D}{x : \Box A, x : \Box \neg A \Rightarrow} L\Box(2)}$$

...,  $y : A \Rightarrow y : A$ , ...

$$\frac{y : A, y : \neg A, y \in \bar{a}, y \in \bar{b}, a \in I(x), b \in I(x), a \Vdash^{\forall} A, b \Vdash^{\forall} \neg A \Rightarrow \bar{a} \Vdash^{\exists} A, \bar{b} \Vdash^{\exists} \neg A}{(1) y \in a, y \in b, a \in I(x), b \in I(x), a \Vdash^{\forall} A, b \Vdash^{\forall} \neg A \Rightarrow \bar{a} \Vdash^{\exists} A, \bar{b} \Vdash^{\exists} \neg A} \begin{array}{l} L\neg \\ L \Vdash^{\forall}(2) \end{array}$$

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(Dm)

$$\frac{a \in I(x), b \in I(x), y \in a, y \in b, \Gamma \Rightarrow \Delta}{a \in I(x), b \in I(x), \Gamma \Rightarrow \Delta} Dm \text{ (} y \text{ fresh)}$$

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# Open problems and future work

## Future work

- (1) Extensions with T, D, 4, 5, B.
- (2) Show the opposite mapping: from external to internal systems.
- (3) Intuitionistic non-normal modal logics.








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Thank you!

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## The Dependence Theorem (cf. Indrzejczak (2010))

**CPL** + T ⊢ D

**CPL** + T + 5 ⊢ B

**CPL** + D + 4 + B ⊢ T

**E** + T + 5 ⊢ 4

**E** + B + 4 + D ⊢ 5

**E** + B + 5 + T ⊢ 4

**E** + B + T ⊢ N